

# Compactifications of Margulis space-times

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Geometry of Moduli Spaces of Low Dimensional Manifolds, RIMS, Kyoto,  
December 14–18, 2015 (joint work with William Goldman, later part also Todd Drumm)

## Abstract

- Let  $\mathbf{R}^{2,1}$  be a complete flat Lorentzian space of dimension 3, and let  $\Gamma$  be a freely and properly acting Lorentzian isometry group  $\cong$  a free group of rank  $r \geq 2$ .

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- The **compactification** of Margulis space-times by attaching **closed  $\mathbf{R}P^2$ -surfaces** at infinity (when the groups do not contain parabolics.) The compactified spaces are homeomorphic to solid handlebodies.

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- The *compactification* of Margulis space-times by attaching *closed  $\mathbf{RP}^2$ -surfaces* at infinity (when the groups do not contain parabolics.) The compactified spaces are homeomorphic to solid handlebodies.
- Finally, we will discuss about the *parabolic regions* of tame Margulis space-times with parabolic holonomies.
- There is another contemporary approach by Danciger, Gueritaud and Kassel.

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- 2 Part I: Projective boosts
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## Background

- Flat Lorentz space  $E = \mathbb{R}^{2,1}$  is  $\mathbb{R}^3$  with  $Q(x, y, z) = x^2 + y^2 - z^2$ .
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- $E/\Gamma$  is called a *Margulis space-time*.
- $L(\Gamma) \subset SO(2, 1)$ . Assume  $L(\Gamma) \subset SO(2, 1)^\circ$  and that this is a Fuchsian group. (It must be free by G. Mess)
- An element  $g$  of  $\Gamma$  is of form  $g(x) = L(g)x + b_g$  for  $L(g) \in SO(2, 1)$  and  $b_g \in \mathbb{R}^{2,1}$ .



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- $\Gamma$  is classified by  $[b] \in H^1(F_n, \mathbb{R}_{L(\Gamma)}^{2,1})$ .
- $\Gamma$  is called a proper affine deformation of  $L(\Gamma)$ , and are classified by Goldman, Labourie, and Margulis [7].
- The topology of  $E/\Gamma$  is in question here.

## The tameness

- $L(\Gamma)$  is convex cocompact if it has a compact convex hull. That is it does not contain a parabolic.

Theorem 1.1 (Goldman-\_\_\_ , Danciger-Gueritaud-Kassel)

*Let  $\mathbb{R}^{2,1}/\Gamma$  be a Margulis spacetime. Assume  $\Gamma$  has no parabolics. Then  $\mathbb{R}^{2,1}/\Gamma$  is homeomorphic to a handlebody of genus  $n$ .*

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- This follows from [3]:

Theorem 1.2 (Goldman-\_\_\_ )

*Let  $\mathbb{R}^{2,1}/\Gamma$  be a Margulis spacetime. Assume  $\Gamma$  has no parabolics. Then  $\mathbb{R}^{2,1}/\Gamma$  can be compactified to a compact  $\mathbb{R}P^3$ -manifold with totally geodesic boundary. The boundary is a closed  $\mathbb{R}P^2$ -surface.*

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- DGK also proved the crooked plane conjecture. The tameness and the compactification follow from this result also.

## The real projective geometry

- $\mathbb{RP}^n = P(\mathbb{R}^{n+1}) = \mathbb{R}^{n+1} - \{O\} / \sim$  where  $v \sim w$  if  $v = sw$  for  $s \neq 0$ .
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- The oriented version  $\mathbf{S}^n := S(\mathbb{R}^{n+1}) = \mathbb{R}^{n+1} - \{O\} / \sim$  where  $v \sim w$  if  $v = sw$  for  $s > 0$ .
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- The group  $\text{Aut}(\mathbf{S}^n)$  of projective automorphisms  $\cong \text{SL}_{\pm}(n+1, \mathbb{R})$ .
- The projection  $(x_1, \dots, x_{n+1}) \rightarrow ((x_1, \dots, x_{n+1}))$ , the equivalence class.

## Affine geometry as a sub-geometry of projective geometry

- $\mathbb{R}^n = H^o \subset H \subset \mathbf{S}^n$  where  $H$  is a hemisphere.
- $\text{Aff}(\mathbb{R}^n) = \text{Aut}(H)$

$$= \left\{ \begin{pmatrix} A & b \\ 0 & \lambda \end{pmatrix} \mid A \in \text{GL}(n, \mathbb{R}), b \in \mathbb{R}^n, \lambda > 0. \right\}$$



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- $H^n = \mathbb{R}^n \cup \mathbf{S}_{\infty}^{n-1}$  for a hemisphere  $H^n$  of  $\mathbf{S}^n$  with **the ideal boundary**  $\mathbf{S}_{\infty}^{n-1}$ .
- A complete affine manifold is of form  $H^{n,o}/\Gamma$  for  $\Gamma \subset \text{Aut}(H^n)$  and the group  $\text{Aut}(H^n)$  of projective automorphism of  $H^n$ , equal to  $\text{Aff}(H^{n,o})$ .

## Lorentz geometry compactified

- $\mathbb{R}^{2,1} = \mathcal{H}^o$  the interior of a **3-hemisphere**  $\mathcal{H}$  in  $\mathbf{S}^3$ .
- $\text{Isom}(\mathbb{R}^{2,1}) = \mathbb{R}^3 \rtimes \text{SO}(2, 1) \hookrightarrow \text{Aut}(\mathcal{H}) \hookrightarrow \text{SL}_{\pm}(4, \mathbb{R})$ .

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- $\mathcal{H} = \mathbb{R}^{2,1} \cup \mathbf{S}_{\infty}^2$  is the compactification of  $\mathbb{R}^{2,1}$  with the ideal boundary  $\mathbf{S}_{\infty}^2$ .
- A element of  $\text{Isom}(\mathbb{R}^{2,1})$  with a semisimple linear part is a **Lorentzian boost**.

$$g \left( \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \right) = \begin{pmatrix} e^l & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-l} \end{pmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} + \begin{bmatrix} 0 \\ b \\ 0 \end{bmatrix}.$$

## Lorentzian boost

- As an element of  $SL_{\pm}(4, \mathbb{R})$ ,

$$\gamma = \begin{pmatrix} e^l & 0 & 0 & 0 \\ 0 & 1 & 0 & \alpha \\ 0 & 0 & e^{-l} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \alpha \neq 0.$$

The six fixed points on  $\mathbf{S}_{\infty}^2$  are:

$$x_{\pm}^{\pm} := ((\pm 1 : 0 : 0 : 0)), x_{\pm}^0 := ((0 : \pm 1 : 0 : 0)), x_{\pm}^{-} := ((0 : 0 : \pm 1 : 0)).$$

in homogeneous coordinates on  $\mathbf{S}_{\infty}^2$ .

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in homogeneous coordinates on  $\mathbf{S}_{\infty}^2$ .

- $\text{Axis}(\gamma) := \overline{x^0 O x^0}$ .  $\gamma$  acts as a translation on  $\text{Axis}(\gamma)$  towards  $x_+^0$  when  $\alpha > 0$  and towards  $x_-^0$  when  $\alpha < 0$ .
- Define the **weak stable plane**  $\mathscr{W}^{wu}(\gamma) := \text{span}(x^-(\gamma) \cup \text{Axis}(\gamma))$ .

## Projective boosts

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- A *Lorentzian boost* is any isometry  $g$  conjugate to  $\gamma$ .
- A *projective boost* is a projective extension  $\mathbf{S}^3$  of a Lorentzian boost.
- The elements  $x_{\pm}^{\pm}(g), x_{\pm}^0(g)$  are all determined by  $g$  itself.

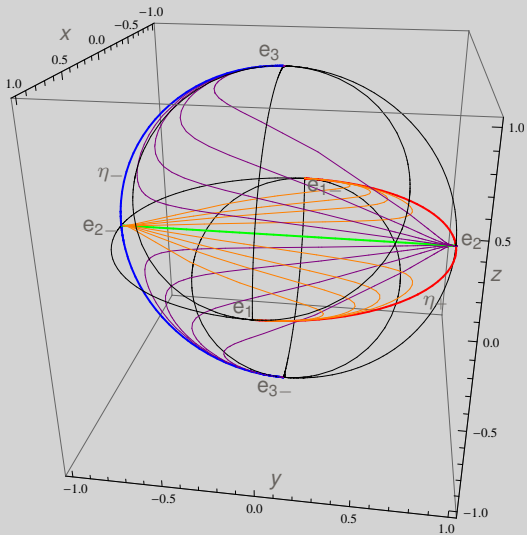


Figure: The action of a projective boost  $\hat{g}$  on the 3-hemisphere  $\mathcal{H}$  with the boundary sphere  $\mathbf{S}^2_\infty$ .



## Convergence for projective boosts

- a projective automorphism  $g_{\lambda,k}$  of form

$$\begin{bmatrix} \lambda & 0 & 0 & 0 \\ 0 & 1 & 0 & k \\ 0 & 0 & \frac{1}{\lambda} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \lambda > 1, k \neq 0 \quad (1)$$

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- **Assume  $(\lambda, k) \rightarrow \infty$  and  $k/\lambda \rightarrow 0$ .**
  - $g_{\lambda,k}|_{\mathcal{H} - \mathbf{S}_{\infty}^2}$  attracting fixed points  $e_1, e_{1-}$ .
  - $g_{\lambda,k}|_{(S \cap \mathcal{H}) - \eta_-} \rightarrow e_2$  for the stable subspace  $S$ .
  - $K \subset \mathcal{H} - \eta_-$ ,  $K$  meets both component of  $\mathcal{H} - S$ . Then  $g_{\lambda,k}(K) \rightarrow \eta_+$ .

## The ideal boundary $\mathbf{S}_\infty^2$ of $E$

- The *sphere of directions*  $\mathbf{S}_\infty^2 := \mathbb{S}(\mathbb{R}^{2,1})$  double-covering  $\mathbb{RP}^2$ .
- The image  $\mathbf{S}_+$  of the space of future timelike vectors identifies with the hyperbolic 2-plane  $\mathbb{H}^2$ , (the Beltrami-Klein model of the hyperbolic plane.)

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- The image  $\mathbb{S}_+$  of the space of future timelike vectors identifies with the hyperbolic 2-plane  $\mathbb{H}^2$ , (the Beltrami-Klein model of the hyperbolic plane.)
- Let  $\mathbb{S}_-$  denote the subspace of  $\mathbb{S}$  corresponding to past timelike vectors.
- $SO(2, 1)^\circ$  acts faithfully on  $\mathbb{H}^2 = \mathbb{S}_+$  as the orientation-preserving isometry group and  $SO(2, 1)$  acts so on  $\mathbb{S}_+ \cup \mathbb{S}_-$  and acts on  $\mathbf{S}_\infty^2$  projectively.
- Let  $\mathbb{S}_0 := \mathbf{S}_\infty^2 - \text{Cl}(\mathbb{S}_+) - \text{Cl}(\mathbb{S}_-)$ .

## Oriented Lorentzian space E

- $\mathbb{R}^{2,1} \times \mathbb{R}^{2,1} \rightarrow \mathbb{R}, (v, u) \mapsto v \cdot u.$
- $\mathbb{R}^{2,1} \times \mathbb{R}^{2,1} \times \mathbb{R}^{2,1} \rightarrow \mathbb{R}, (v, u, w) \mapsto \text{Det}(v, u, w).$

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- $\mathbb{R}^{2,1} \times \mathbb{R}^{2,1} \times \mathbb{R}^{2,1} \rightarrow \mathbb{R}, (v, u, w) \mapsto \text{Det}(v, u, w).$
- Null space  $\mathcal{N} := \{v \mid v \cdot v = 0\} \subset \mathbb{R}^{2,1}.$
- Let  $v \in \mathcal{N}, v \neq 0, v^\perp - \mathbb{R}v$  has two choices of components.
- Define the *null half-plane*  $\mathcal{W}(v)$  (or the *wing*) associated to  $v$  as:

$$\mathcal{W}(v) := \left\{ w \in v^\perp \mid \text{Det}(v, w, u) > 0 \right\} \subset v^\perp - \mathbb{R}v.$$

where  $u$  is chosen arbitrarily in the same  $\text{Cl}(\mathbb{S}_\pm)$  that  $v$  is in.

- $\mathcal{W}(v) = \mathcal{W}(-v).$

- The corresponding set of directions is the open arc

$$\varepsilon((v)) := (\mathcal{W}(v))$$

in  $\mathbb{S}_0$  joining  $((v))$  to its antipode  $((v_-))$ .

- The corresponding map

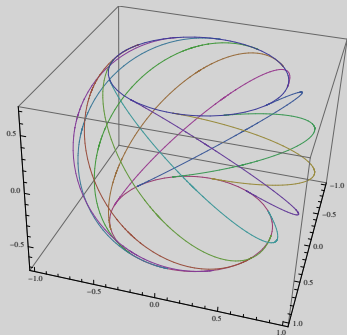
$$((v)) \mapsto \varepsilon((v))$$

is an  $SO(2, 1)$ -equivariant map

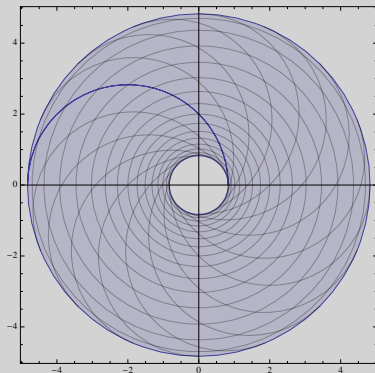
$$\partial\mathbb{S}_+ \rightarrow \mathcal{S}$$

where  $\mathcal{S}$  denotes the set of half-arcs.

**Figure:** The tangent geodesics to disks  $\mathbb{S}_+$  and  $\mathbb{S}_-$  in the unit sphere  $\mathbb{S}_\infty^2$  imbedded in  $\mathbb{R}^3$ .



**Figure:** The tangent geodesics to disks  $\mathbb{S}_+$  and  $\mathbb{S}_-$  in the stereographically projected  $\mathbb{S}_\infty^2$  from  $(0, 0, -1)$ . The inner circle represents the boundary of  $\mathbb{S}_+$ . The arcs of form  $\varepsilon(x)$  for  $x \in \partial\mathbb{S}_+$  are leaves of the foliation  $\mathcal{F}$  on  $\mathbb{S}_0$ .





## $\mathbb{R}P^2$ -surfaces to bordify $E/\Gamma$ .

- $\Sigma_+ := \mathbb{S}_+/L(\Gamma)$  is a complete hyperbolic surface without parabolics.
- We can add finitely many arcs to compactify  $\Sigma'_+ := \Sigma_+ \cup c_1 \cup \cdots \cup c_n$ .
- $\tilde{\Sigma}'_+ = \mathbb{S}_+ \cup \bigcup_{i \in \mathcal{J}} \mathbf{b}_i = \text{Cl}(\mathbb{S}_+) - \Lambda$ .

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- We define

$$\begin{aligned} \tilde{\Sigma} &= \tilde{\Sigma}'_+ \cup \prod_{i \in \mathcal{J}} \mathcal{A}_i \cup \tilde{\Sigma}'_- \\ &= \mathbf{S}_\infty^2 - \bigcup_{x \in \Lambda} \text{Cl}(\varepsilon(x)). \end{aligned} \quad (2)$$

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### Theorem 3.1 (Projective Schottky surface)

*$L(\Gamma)$  acts properly discontinuously and freely on  $\tilde{\Sigma}$ , and  $\Sigma := \tilde{\Sigma}/L(\Gamma)$  is a closed  $\mathbb{R}P^2$ -surface. The same is true for  $\mathcal{A}(\tilde{\Sigma})$ .*

## The proper action of $\Gamma$ on $E \cup \tilde{\Sigma}$

- Recall the **Margulis invariant**:

$$\mu(g) = B(gx - x, v(g))$$

where  $v(g)$  is the unit space-like neutral vector of  $g$

$$v(g) := \frac{x^+(g) \times x^-(g)}{\|x^+(g) \times x^-(g)\|}.$$

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- To obtain the converse, the diffused Margulis invariants are introduced by Goldman, Labourie, and Margulis [7]. We will use their techniques.
- We know that  $\Gamma$  acts properly on  $E$  and  $\tilde{\Sigma}$  separately.

- Let  $C(\Sigma_+)$  be the space of **Borel probability measures** on  $\mathbb{U}\Sigma_+/\Gamma$  invariant under the flow. These are supported on the **nonwondering part**  $\mathbb{U}_{rec}\Sigma_+$ .
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#### Theorem 4.1 (Goldman-Labourie-Margulis)

*$\mu$  has the same sign if and only if  $\Gamma$  acts properly on  $\mathbb{R}^{2,1}$ .*

A consequence of the proof:

There exists a continuous section

$$\mathbb{U}_{rec}\Sigma_+ \rightarrow \mathbb{U}_{rec}\Sigma_+ \times E/\Gamma$$

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- Goldman-Labourie found a one to one correspondence
 
$$\{l \mid l \text{ is a nonwandering geodesic on } \Sigma_+\} \leftrightarrow \{l \mid l \text{ is a nonwandering spacelike geodesic on } E/\Gamma\}$$
- **A key fact:** Closed geodesics on  $\Sigma_+$  corresponds to closed geodesic in  $E/\Gamma$ . The set is precompact

## The proof of the properness

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- Consider  $\{g \in \Gamma \mid g(K) \cap K \neq \emptyset\}$ .
- Suppose that the set is not finite. We find a sequence  $g_i$  with  $\lambda(g_i) \rightarrow \infty$ .
- Let  $a_i$  and  $r_i$  on  $\partial\mathbb{S}_+$  denote the attracting and the repelling fixed points of  $g_i$ .

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- Let  $K$  be a compact subset of  $E \cup \tilde{\Sigma}$ .
- Consider  $\{g \in \Gamma \mid g(K) \cap K \neq \emptyset\}$ .
- Suppose that the set is not finite. We find a sequence  $g_i$  with  $\lambda(g_i) \rightarrow \infty$ .
- Let  $a_i$  and  $r_i$  on  $\partial\mathbb{S}_+$  denote the attracting and the repelling fixed points of  $g_i$ .
- Since a Fuchsian group is a **convergence group**: There exists a subsequence  $g_i$  so that

$$a_i \rightarrow a, b_i \rightarrow b, a, b \in \partial\mathbb{S}_+, \lambda_i \rightarrow \infty.$$

- Assume  $a \neq b$ . The other case will be done by “Margulis trick”

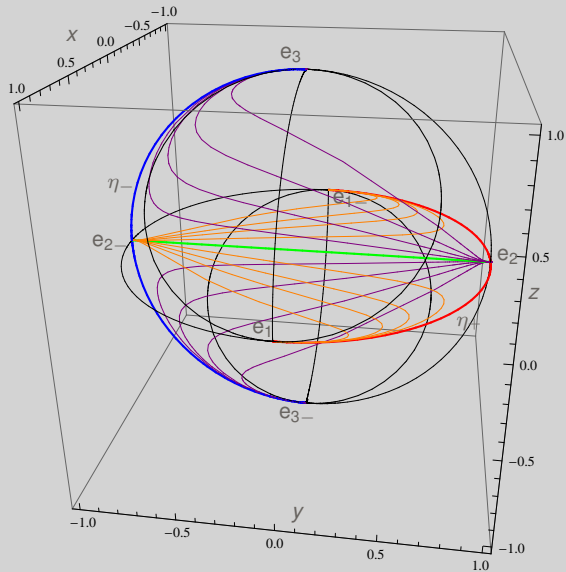


Figure: The actions are very close to this one.

- By the boundedness of nonwandering spacelike geodesics in  $E/\Gamma$ , we find a **bounded set**  $h_i \in \mathrm{SL}_{\pm}(4, \mathbb{R})$  so that  $h_i g_i h_i^{-1}$  is in the standard form.
- We choose a **subsequence**  $h_i \rightarrow h_{\infty} \in \mathrm{SL}_{\pm}(4, \mathbb{R})$  and the **stable subspace**  $S_i \rightarrow S_{\infty}$ .
- Cover  $K$  by a finite set of convex ball meeting  $S_{\infty}$  and ones disjoint from  $S_{\infty}$ .
- $g_i(B) \rightarrow a, a_{-}$  for disjoint balls.
- $g_i(B') \rightarrow \varepsilon(a)$  for  $B'$  meeting  $S_{\infty}$ .

# Compactness

- Now we know  $E \cap \tilde{\Sigma}/\Gamma$  is a manifold.
- We know  $\Sigma$  is a closed surface.  $\pi_1(\Sigma) \rightarrow \pi_1(M)$  surjective.

## Margulis spacetime with parabolics

- In analogy with the thick and thin decomposition of hyperbolic 3-manifolds.
- Let  $g \in \text{Isom}(\mathbb{R}^{2,1})$  with  $L(g)$  parabolic. Suppose that  $g$  acts properly on  $E$ .
- Charette-Drumm invariant generalizes the Margulis invariants. (See [2])



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### Theorem 6.1 (Charette-Drumm)

*If  $\Gamma$  acts properly on  $E$ ,  $\{\mu^{\text{gen}}(g) | g \in \Gamma\}$  have the same signs.*

- The converse is being proved by Goldman, Labourie, Margulis, Minsky [8].

## Understanding the parabolic transformation

- We restrict to the cyclic  $\langle g \rangle$  for parabolic  $g$ .
- $U = \exp(N) = I + N + \frac{1}{2}N^2$  where  $N$  is skew-adjoint nilpotent.
- $N = \log(U) = (U - I) + \frac{1}{2}(U - I)^2$ .

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### Lemma 6.2 (Skew-Nilpotent)

*There exists  $c \in \text{Ker}N$  such that  $c$  is causal,  $c = N(b)$ ,  $b \in \mathbb{R}^{2,1}$  is space-like and  $b \cdot b = 1$ .*

- Using basis  $\{a, b, c\}$  with  $b = N(a)$ ,  $c = N(b)$ , we obtain a one-parameter family containing  $U$

$$\Phi(t) : E \rightarrow E = \begin{pmatrix} 1 & t & t^2/2 & \mu t^3/6 \\ 0 & 1 & t & \mu t^2/2 \\ 0 & 0 & 1 & \mu t \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

- $\phi = y\partial_x + z\partial_y + \mu\partial_z$  is the vector field generating it.
- $F_2(x, y, z) = z^2 - 2\mu y$  and  $F_3(x, y, z) := z^3 - 3\mu yz + 3\mu^2 z$  are invariants.

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- For  $F(x, y, z) := (F_3(x, y, z), F_2(x, y, z), z)$ ,  $F \circ \Phi_t \circ F^{-1}$  is a translation by  $(0, 0, \mu t)$ .

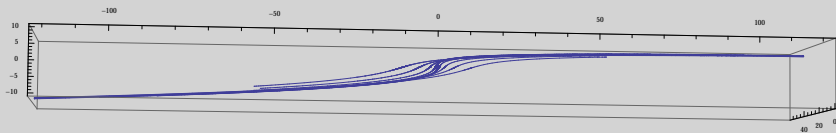


Figure: A number of orbits drawn horizontally.

## Lorentzian analog of parabolic neighborhoods

- We use timelike ruled surface invariant under  $\Phi_t$ .
- $F_2 = T$  is a parabolic cylinder  $P_T$ .

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- $F_2 = T$  is a parabolic cylinder  $P_T$ .
- Take a line  $l$  with direction  $(a, 0, c)$ ,  $a, c > 0$  in the timelike direction  $2ac > 0$ .
- $\Psi(t, s) = \Phi_t(l(s))$  for  $l(s) = (0, y_0, 0) + s(a, 0, c)$  for  $(0, y_0, 0) \in P_T$  where  $T = -2\mu y_0$ .

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- For  $y_0 < \mu \frac{a}{c}$ ,  $\Psi(t, s)$  is a proper imbedding to  $\Phi_t$  invariant ruled surface.

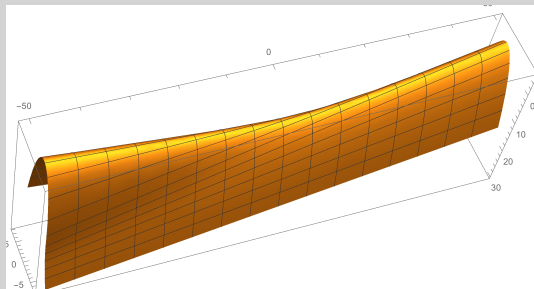


Figure: The ruled surface



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