

# Logic and the set theory

## Lecture 21: The set theory: Review Sections 12-25

S. Choi

Department of Mathematical Science  
KAIST, Daejeon, South Korea

Fall semester, 2012

## About this lecture

- The axiom of choice

## About this lecture

- The axiom of choice
- An Infinite set has  $\omega$  as a subset.

## About this lecture

- The axiom of choice
- An Infinite set has  $\omega$  as a subset.
- Axiom of choice is equivalent to the Zorn's lemma.

## About this lecture

- The axiom of choice
- An Infinite set has  $\omega$  as a subset.
- Axiom of choice is equivalent to the Zorn's lemma.
- Axiom of substitution.

## About this lecture

- The axiom of choice
- An Infinite set has  $\omega$  as a subset.
- Axiom of choice is equivalent to the Zorn's lemma.
- Axiom of substitution.
- GCH by Cohen.

## About this lecture

- The axiom of choice
- An Infinite set has  $\omega$  as a subset.
- Axiom of choice is equivalent to the Zorn's lemma.
- Axiom of substitution.
- GCH by Cohen.
- Course homepages: <http://mathsci.kaist.ac.kr/~schoi/logic.html>  
and the moodle page <http://moodle.kaist.ac.kr>

## About this lecture

- The axiom of choice
- An Infinite set has  $\omega$  as a subset.
- Axiom of choice is equivalent to the Zorn's lemma.
- Axiom of substitution.
- GCH by Cohen.
- Course homepages: <http://mathsci.kaist.ac.kr/~schoi/logic.html>  
and the moodle page <http://moodle.kaist.ac.kr>
- Grading and so on in the moodle. Ask questions in moodle.



## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.

## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.
- <http://plato.stanford.edu/contents.html> has much resource.

## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.
- <http://plato.stanford.edu/contents.html> has much resource.
- Introduction to set theory, Hrbacek and Jech, CRC Press. (Chapter 3 (3.2, 3.3))

## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.
- <http://plato.stanford.edu/contents.html> has much resource.
- Introduction to set theory, Hrbacek and Jech, CRC Press. (Chapter 3 (3.2, 3.3))
- Introduction to mathematical logic: set theory, computable functions, model theory, Malitz, J. Springer

## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.
- <http://plato.stanford.edu/contents.html> has much resource.
- Introduction to set theory, Hrbacek and Jech, CRC Press. (Chapter 3 (3.2, 3.3))
- Introduction to mathematical logic: set theory, computable functions, model theory, Malitz, J. Springer
- Sets for mathematics, F.W. Lawvere, R. Rosebrugh, Cambridge

## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.
- <http://plato.stanford.edu/contents.html> has much resource.
- Introduction to set theory, Hrbacek and Jech, CRC Press. (Chapter 3 (3.2, 3.3))
- Introduction to mathematical logic: set theory, computable functions, model theory, Malitz, J. Springer
- Sets for mathematics, F.W. Lawvere, R. Rosebrugh, Cambridge
- <http://us.metamath.org/index.html>

## Some helpful references

- Sets, Logic and Categories, Peter J. Cameron, Springer. Read Chapters 3,4,5.
- <http://plato.stanford.edu/contents.html> has much resource.
- Introduction to set theory, Hrbacek and Jech, CRC Press. (Chapter 3 (3.2, 3.3))
- Introduction to mathematical logic: set theory, computable functions, model theory, Malitz, J. Springer
- Sets for mathematics, F.W. Lawvere, R. Rosebrugh, Cambridge
- <http://us.metamath.org/index.html>
- <http://us.metamath.org/mpegif/weth.mid> The music of proofs.

# The axiom of choice

- $\prod_{i \in I} X_i := \{(x_i) \mid x_i \in X_i \text{ for each } i \in I\}$ .



## The axiom of choice

- $\prod_{i \in I} X_i := \{(x_i) \mid x_i \in X_i \text{ for each } i \in I\}$ .
- Axiom of Choice: The Cartesian product of a non-empty family of nonempty sets is nonempty.

## The axiom of choice

- $\prod_{i \in I} X_i := \{(x_i) \mid x_i \in X_i \text{ for each } i \in I\}$ .
- Axiom of Choice: The Cartesian product of a non-empty family of nonempty sets is nonempty.
- In other words: Given a nonempty family of nonempty sets  $\{X_i\}_{i \in I}$ , there exists a family  $\{x_i\}_{i \in I}$  such that  $x_i \in X_i$  for each  $i \in I$ .

## The axiom of choice

- $\prod_{i \in I} X_i := \{(x_i) \mid x_i \in X_i \text{ for each } i \in I\}$ .
- Axiom of Choice: The Cartesian product of a non-empty family of nonempty sets is nonempty.
- In other words: Given a nonempty family of nonempty sets  $\{X_i\}_{i \in I}$ , there exists a family  $\{x_i\}_{i \in I}$  such that  $x_i \in X_i$  for each  $i \in I$ .
- Application: Let  $X$  be a nonempty set. Then there exists a function  $f : P(X) - \{\emptyset\} \rightarrow X$  so that  $f(A) \in A$ .

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}, 3 = 2^+ = \{0, 1, 2\}$ .

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}$ ,  $3 = 2^+ = \{0, 1, 2\}$ .
- $\omega = N$  the set of all natural numbers. (In this book 0 is a natural number.)



## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}$ ,  $3 = 2^+ = \{0, 1, 2\}$ .
- $\omega = N$  the set of all natural numbers. (In this book 0 is a natural number.)
- A finite set, an infinite set.

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}$ ,  $3 = 2^+ = \{0, 1, 2\}$ .
- $\omega = N$  the set of all natural numbers. (In this book 0 is a natural number.)
- A finite set, an infinite set.
  - ▶  $n^+ \neq 0$  for all  $n \in \omega$ . (any  $n^+$  has at least one element and  $0 = \emptyset$ .)

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}$ ,  $3 = 2^+ = \{0, 1, 2\}$ .
- $\omega = N$  the set of all natural numbers. (In this book 0 is a natural number.)
- A finite set, an infinite set.
  - ▶  $n^+ \neq 0$  for all  $n \in \omega$ . (any  $n^+$  has at least one element and  $0 = \emptyset$ .)
  - ▶ (i) no natural number is a subset of any of its elements. (Proof by induction)

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}, 3 = 2^+ = \{0, 1, 2\}$ .
- $\omega = N$  the set of all natural numbers. (In this book 0 is a natural number.)
- A finite set, an infinite set.
  - ▶  $n^+ \neq 0$  for all  $n \in \omega$ . (any  $n^+$  has at least one element and  $0 = \emptyset$ .)
  - ▶ (i) no natural number is a subset of any of its elements. (Proof by induction)
  - ▶ (ii) every element of a natural number is a subset of it. (Proof by induction)

## Recall: Numbers

- A *successor set*  $x^+$  of  $x$ :  $x^+ := x \cup \{x\}$ .
- $0 = \emptyset$ .
- $1 = 0^+ = \{0\}$ .
- $2 = 1^+ = \{0, 1\}, 3 = 2^+ = \{0, 1, 2\}$ .
- $\omega = N$  the set of all natural numbers. (In this book 0 is a natural number.)
- A finite set, an infinite set.
  - ▶  $n^+ \neq 0$  for all  $n \in \omega$ . (any  $n^+$  has at least one element and  $0 = \emptyset$ .)
  - ▶ (i) no natural number is a subset of any of its elements. (Proof by induction)
  - ▶ (ii) every element of a natural number is a subset of it. (Proof by induction)
  - ▶ If  $n$  and  $m$  are in  $\omega$ , and if  $n^+ = m^+$ , then  $n = m$ .

# Infinite set

- A natural number  $n \in \omega$  is not equivalent to a proper subset of  $n$ .

# Infinite set

- A natural number  $n \in \omega$  is not equivalent to a proper subset of  $n$ .
- Proof: For  $n = 0$ ,  $n = \emptyset$ . True.

# Infinite set

- A natural number  $n \in \omega$  is not equivalent to a proper subset of  $n$ .
- Proof: For  $n = 0$ ,  $n = \emptyset$ . True.
- Assume true for  $n$  and prove for  $n^+ = \{0, 1, 2, \dots, n - 1, n\}$ .



# Infinite set

- A natural number  $n \in \omega$  is not equivalent to a proper subset of  $n$ .
- Proof: For  $n = 0$ ,  $n = \emptyset$ . True.
- Assume true for  $n$  and prove for  $n^+ = \{0, 1, 2, \dots, n - 1, n\}$ .
- Suppose  $f : n^+ \rightarrow E \subset n^+$  for  $E$  a proper subset.

# Infinite set

- A natural number  $n \in \omega$  is not equivalent to a proper subset of  $n$ .
- Proof: For  $n = 0$ ,  $n = \emptyset$ . True.
- Assume true for  $n$  and prove for  $n^+ = \{0, 1, 2, \dots, n - 1, n\}$ .
- Suppose  $f : n^+ \rightarrow E \subset n^+$  for  $E$  a proper subset.
- If  $n \notin E$ ,  $f|n : n \rightarrow E - \{f(n)\}$  is one-to-one and onto.  $E \subset n$  as  $n \notin E$ .  $E - \{f(n)\}$  proper subset of  $n$ . Contradiction.

# Infinite set

- A natural number  $n \in \omega$  is not equivalent to a proper subset of  $n$ .
- Proof: For  $n = 0$ ,  $n = \emptyset$ . True.
- Assume true for  $n$  and prove for  $n^+ = \{0, 1, 2, \dots, n - 1, n\}$ .
- Suppose  $f : n^+ \rightarrow E \subset n^+$  for  $E$  a proper subset.
- If  $n \notin E$ ,  $f|n : n \rightarrow E - \{f(n)\}$  is one-to-one and onto.  $E \subset n$  as  $n \notin E$ .  $E - \{f(n)\}$  proper subset of  $n$ . Contradiction.
- If  $n \in E$ ,  $n$  is equivalent to  $E - \{n\}$ .  $n = E - \{n\}$  by induction hypothesis. Thus  $E = n^+$ . Contradiction.

# Infinite set

- A set is *finite* if it is equivalent to some natural number.

# Infinite set

- A set is *finite* if it is equivalent to some natural number.
- A set is *infinite* if it is not equivalent to any natural number.

# Infinite set

- A set is *finite* if it is equivalent to some natural number.
- A set is *infinite* if it is not equivalent to any natural number.
- A set can be equivalent to at most one natural number:

# Infinite set

- A set is *finite* if it is equivalent to some natural number.
- A set is *infinite* if it is not equivalent to any natural number.
- A set can be equivalent to at most one natural number:
- Proof: This follows from  $n \in \omega$  is not equivalent to a subset of  $n$ .

# Infinite set

- A set is *finite* if it is equivalent to some natural number.
- A set is *infinite* if it is not equivalent to any natural number.
- A set can be equivalent to at most one natural number:
- Proof: This follows from  $n \in \omega$  is not equivalent to a subset of  $n$ .
- We will need



## Infinite set

- A set is *finite* if it is equivalent to some natural number.
- A set is *infinite* if it is not equivalent to any natural number.
- A set can be equivalent to at most one natural number:
- Proof: This follows from  $n \in \omega$  is not equivalent to a subset of  $n$ .
- We will need

### Theorem (Recursion)

Let  $X$  be a set,  $a \in X$ , and  $f : X \rightarrow X$  be a function. Then there exists a function  $u : \omega \rightarrow X$  such that  $u(0) = a$  and  $u(n^+) = f(u(n))$  for all  $n \in \omega$ .

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .
- This follows by the Axiom of choice.

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .
- This follows by the Axiom of choice.
- Let  $X$  be an infinite set.

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .
- This follows by the Axiom of choice.
- Let  $X$  be an infinite set.
- Let  $\mathcal{C}$  be the collection of all finite subsets of  $X$ .

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .
- This follows by the Axiom of choice.
- Let  $X$  be an infinite set.
- Let  $\mathcal{C}$  be the collection of all finite subsets of  $X$ .
- If  $A \in \mathcal{C}$ , then  $X - A \neq \emptyset$ .

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .
- This follows by the Axiom of choice.
- Let  $X$  be an infinite set.
- Let  $\mathcal{C}$  be the collection of all finite subsets of  $X$ .
- If  $A \in \mathcal{C}$ , then  $X - A \neq \emptyset$ .
- Define  $g : \mathcal{C} \rightarrow \mathcal{C}$  by  $g(A) = A \cup \{f(X - A)\}$ .

## An infinite set contains a subset equivalent to $\omega$

- Given  $X$ , we can choose a choice function  $f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$ .
- This follows by the Axiom of choice.
- Let  $X$  be an infinite set.
- Let  $\mathcal{C}$  be the collection of all finite subsets of  $X$ .
- If  $A \in \mathcal{C}$ , then  $X - A \neq \emptyset$ .
- Define  $g : \mathcal{C} \rightarrow \mathcal{C}$  by  $g(A) = A \cup \{f(X - A)\}$ .
- By Recursion theorem, there exists a function  $U : \omega \rightarrow \mathcal{C}$  such that  $U(0) = \emptyset$  and  $U(n^+) = U(n) \cup \{f(X - U(n))\} = g(U(n))$ .  $-(*)$



- Define  $v : \omega \rightarrow X$  be  $v(n) := f(X - U(n))$ .  $-(**)$

- Define  $v : \omega \rightarrow X$  be  $v(n) := f(X - U(n))$ .  $-(**)$
- Claim:  $v : \omega \rightarrow X$  is a one-to-one correspondence into a subset of  $X$ .

- Define  $v : \omega \rightarrow X$  be  $v(n) := f(X - U(n))$ .  $\neg(**)$
- Claim:  $v : \omega \rightarrow X$  is a one-to-one correspondence into a subset of  $X$ .
- Proof: (1)  $v(n) \notin U(n)$  for all  $n \in \omega$  by  $(**)$ .

- Define  $v : \omega \rightarrow X$  be  $v(n) := f(X - U(n))$ .  $\neg(**)$
- Claim:  $v : \omega \rightarrow X$  is a one-to-one correspondence into a subset of  $X$ .
- Proof: (1)  $v(n) \notin U(n)$  for all  $n \in \omega$  by  $(**)$ .
- (2)  $v(n) \in U(n^+)$  for all  $n \in \omega$  by  $(*)$  and  $(**)$ .

(3)

- If  $n \leq m$ , the  $U(n) \subset U(m)$ .

(3)

- If  $n \leq m$ , the  $U(n) \subset U(m)$ .
- Proof: Fix  $n$  and do induction on  $m$ .

(3)

- If  $n \leq m$ , the  $U(n) \subset U(m)$ .
- Proof: Fix  $n$  and do induction on  $m$ .
- Define  $S(n) = \{m \mid m \geq n, U(n) \subset U(m)\}$ .

(3)

- If  $n \leq m$ , the  $U(n) \subset U(m)$ .
- Proof: Fix  $n$  and do induction on  $m$ .
- Define  $S(n) = \{m \mid m \geq n, U(n) \subset U(m)\}$ .
- $S(n) \ni n$  and  $S(n)$  is not empty.



(3)

- If  $n \leq m$ , the  $U(n) \subset U(m)$ .
- Proof: Fix  $n$  and do induction on  $m$ .
- Define  $S(n) = \{m \mid m \geq n, U(n) \subset U(m)\}$ .
- $S(n) \ni n$  and  $S(n)$  is not empty.
- If  $m \in S(n)$ , then  $m^+ \subset S(n)$ :

(3)

- If  $n \leq m$ , the  $U(n) \subset U(m)$ .
- Proof: Fix  $n$  and do induction on  $m$ .
- Define  $S(n) = \{m \mid m \geq n, U(n) \subset U(m)\}$ .
- $S(n) \ni n$  and  $S(n)$  is not empty.
- If  $m \in S(n)$ , then  $m^+ \subset S(n)$ :
- $U(m^+) = U(m) \cup \{f(x - U(m))\} \supset U(n)$ .

(4)

- If  $n < m$ , then  $v(n) \neq v(m)$ .

(4)

- If  $n < m$ , then  $v(n) \neq v(m)$ .
- $n < m$ . Then  $n \in m$  and by transitivity  $n \subset m$ .  $n \cup \{n\} \subset m$ .

(4)

- If  $n < m$ , then  $v(n) \neq v(m)$ .
- $n < m$ . Then  $n \in m$  and by transitivity  $n \subset m$ .  $n \cup \{n\} \subset m$ .
- $n^+ \leq m$ .  $U(n^+) \subset U(m)$ . Thus  $v(n) \subset U(n^+) \subset U(m)$  by (2) and (3).

(4)

- If  $n < m$ , then  $v(n) \neq v(m)$ .
- $n < m$ . Then  $n \in m$  and by transitivity  $n \subset m$ .  $n \cup \{n\} \subset m$ .
- $n^+ \leq m$ .  $U(n^+) \subset U(m)$ . Thus  $v(n) \subset U(n^+) \subset U(m)$  by (2) and (3).
- $v(m) \notin U(m)$ . Thus  $v(n) \neq v(m)$ .

- Axiom of choice, Zorn's lemma, and the well-ordering principles are all equivalent.

- Axiom of choice, Zorn's lemma, and the well-ordering principles are all equivalent.
- This is a very important fact that you need to know.



- Axiom of choice, Zorn's lemma, and the well-ordering principles are all equivalent.
- This is a very important fact that you need to know.
- First prove: The axiom of choice implies Zorn's lemma.

- Axiom of choice, Zorn's lemma, and the well-ordering principles are all equivalent.
- This is a very important fact that you need to know.
- First prove: The axiom of choice implies Zorn's lemma.

### Theorem (Zorn's lemma)

*If  $X$  is a partially ordered set such that every chain in  $X$  has an upper bound, then  $X$  contains a maximal element.*

# Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.

# Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.
- $\bar{s} : X \rightarrow P(X)$  is a function.  $\bar{s}$  is one-to-one: proof omit.

# Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.
- $\bar{s} : X \rightarrow P(X)$  is a function.  $\bar{s}$  is one-to-one: proof omit.
- Let  $\chi$  be the set of all chains in  $X$ . Then every member of  $\chi$  is in some  $\bar{s}(x)$ .

# Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.
- $\bar{s} : X \rightarrow P(X)$  is a function.  $\bar{s}$  is one-to-one: proof omit.
- Let  $\chi$  be the set of all chains in  $X$ . Then every member of  $\chi$  is in some  $\bar{s}(x)$ .
- $\chi \neq \emptyset$  since  $\chi$  contains singletons.

## Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.
- $\bar{s} : X \rightarrow P(X)$  is a function.  $\bar{s}$  is one-to-one: proof omit.
- Let  $\chi$  be the set of all chains in  $X$ . Then every member of  $\chi$  is in some  $\bar{s}(x)$ .
- $\chi \neq \emptyset$  since  $\chi$  contains singletons.
- $\chi$  is ordered by inclusion (partial order)

## Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.
- $\bar{s} : X \rightarrow P(X)$  is a function.  $\bar{s}$  is one-to-one: proof omit.
- Let  $\chi$  be the set of all chains in  $X$ . Then every member of  $\chi$  is in some  $\bar{s}(x)$ .
- $\chi \neq \emptyset$  since  $\chi$  contains singletons.
- $\chi$  is ordered by inclusion (partial order)
- If  $\mathcal{C}$  is a chain in  $\chi$ , then  $\bigcup \mathcal{C} \in \chi$ : proof: omit.



## Proof

- Define  $\bar{s}(x) = \{y \in X \mid y \leq x\}$  weak initial segment.
- $\bar{s} : X \rightarrow P(X)$  is a function.  $\bar{s}$  is one-to-one: proof omit.
- Let  $\chi$  be the set of all chains in  $X$ . Then every member of  $\chi$  is in some  $\bar{s}(x)$ .
- $\chi \neq \emptyset$  since  $\chi$  contains singletons.
- $\chi$  is ordered by inclusion (partial order)
- If  $\mathcal{C}$  is a chain in  $\chi$ , then  $\bigcup \mathcal{C} \in \chi$ : proof: omit.
- Suppose that we find a maximal element  $\mathcal{F}$  in  $\chi$ . Then  $\mathcal{F}$  has an upper bound  $f_0$ . Then  $f_0$  is a maximal element of  $X$ .

## Proof continued

- Let  $f$  be a choice function for  $\mathcal{X}: f : P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$  for all  $A \in P(X)$ .

## Proof continued

- Let  $f$  be a choice function for  $\chi: P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$  for all  $A \in P(X)$ .
- Define  $\hat{A} = \{x \in X \mid A \cup \{x\} \in \chi\}$ .

## Proof continued

- Let  $f$  be a choice function for  $\chi: P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$  for all  $A \in P(X)$ .
- Define  $\hat{A} = \{x \in X \mid A \cup \{x\} \in \chi\}$ .
- Define  $g: \chi \rightarrow \chi$  by if  $\hat{A} - A \neq \emptyset$ , then  $g(A) = A \cup \{f(\hat{A} - A)\}$  and if  $\hat{A} - A = \emptyset$ , then  $g(A) = A$ .

## Proof continued

- Let  $f$  be a choice function for  $\chi: f: P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$  for all  $A \in P(X)$ .
- Define  $\hat{A} = \{x \in X \mid A \cup \{x\} \in \chi\}$ .
- Define  $g: \chi \rightarrow \chi$  by if  $\hat{A} - A \neq \emptyset$ , then  $g(A) = A \cup \{f(\hat{A} - A)\}$  and if  $\hat{A} - A = \emptyset$ , then  $g(A) = A$ .
- We show that there exists  $A \in \chi$  such that  $g(A) = A$ .

## Proof continued

- Let  $f$  be a choice function for  $\chi: f: P(X) - \{\emptyset\} \rightarrow X$  such that  $f(A) \in A$  for all  $A \in P(X)$ .
- Define  $\hat{A} = \{x \in X \mid A \cup \{x\} \in \chi\}$ .
- Define  $g: \chi \rightarrow \chi$  by if  $\hat{A} - A \neq \emptyset$ , then  $g(A) = A \cup \{f(\hat{A} - A)\}$  and if  $\hat{A} - A = \emptyset$ , then  $g(A) = A$ .
- We show that there exists  $A \in \chi$  such that  $g(A) = A$ .
- Then  $A$  is the element  $\mathcal{F}$  we need.

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .



# Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .
  - ▶ If  $\mathcal{C}$  is a chain in  $\mathcal{T}$ , then  $\bigcup \mathcal{C} \in \mathcal{T}$ .

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .
  - ▶ If  $\mathcal{C}$  is a chain in  $\mathcal{T}$ , then  $\bigcup \mathcal{C} \in \mathcal{T}$ .
- A tower exists ( $\chi$  is one).

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .
  - ▶ If  $\mathcal{C}$  is a chain in  $\mathcal{T}$ , then  $\bigcup \mathcal{C} \in \mathcal{T}$ .
- A tower exists ( $\chi$  is one).
- Let  $\mathcal{T}_0$  be the intersection of the collection of all towers. It is a tower.

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .
  - ▶ If  $\mathcal{C}$  is a chain in  $\mathcal{T}$ , then  $\bigcup \mathcal{C} \in \mathcal{T}$ .
- A tower exists ( $\chi$  is one).
- Let  $\mathcal{T}_0$  be the intersection of the collection of all towers. It is a tower.
- We show that  $\mathcal{T}_0$  is a chain : in the next frame.

## Proof continued

- A *tower*  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .
  - ▶ If  $\mathcal{C}$  is a chain in  $\mathcal{T}$ , then  $\bigcup \mathcal{C} \in \mathcal{T}$ .
- A tower exists ( $\chi$  is one).
- Let  $\mathcal{T}_0$  be the intersection of the collection of all towers. It is a tower.
- We show that  $\mathcal{T}_0$  is a chain : in the next frame.
- Then  $A = \bigcup \mathcal{T}_0$  is in  $\mathcal{T}_0$  and has the property  $g(A) = A$ .

## Proof continued

- A tower  $\mathcal{T} \subset \chi$  is a subcollection such that
  - ▶  $\emptyset \in \mathcal{T}$ .
  - ▶ If  $A \in \mathcal{T}$ , then  $g(A) \in \mathcal{T}$ .
  - ▶ If  $\mathcal{C}$  is a chain in  $\mathcal{T}$ , then  $\bigcup \mathcal{C} \in \mathcal{T}$ .
- A tower exists ( $\chi$  is one).
- Let  $\mathcal{T}_0$  be the intersection of the collection of all towers. It is a tower.
- We show that  $\mathcal{T}_0$  is a chain : in the next frame.
- Then  $A = \bigcup \mathcal{T}_0$  is in  $\mathcal{T}_0$  and has the property  $g(A) = A$ .
- Note:  $g(A) \subset A$  and  $g(A) - A$  cannot be more than a singleton.

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .



Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)
- Consider  $\mathcal{U} \subset \mathcal{T}_0$  where  $A \subset C$  or  $g(C) \subset A$ .

## Proof continued: $\mathcal{T}_0$ is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)
- Consider  $\mathcal{U} \subset \mathcal{T}_0$  where  $A \subset C$  or  $g(C) \subset A$ .
- $\mathcal{U}$  is smaller than the subset of  $\mathcal{T}_0$  comparable with  $g(C)$ .

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)
- Consider  $\mathcal{U} \subset \mathcal{T}_0$  where  $A \subset C$  or  $g(C) \subset A$ .
- $\mathcal{U}$  is smaller than the subset of  $\mathcal{T}_0$  comparable with  $g(C)$ .
- $\mathcal{U}$  is a tower and hence  $\mathcal{U} = \mathcal{T}_0$ : proof omit.

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)
- Consider  $\mathcal{U} \subset \mathcal{T}_0$  where  $A \subset C$  or  $g(C) \subset A$ .
- $\mathcal{U}$  is smaller than the subset of  $\mathcal{T}_0$  comparable with  $g(C)$ .
- $\mathcal{U}$  is a tower and hence  $\mathcal{U} = \mathcal{T}_0$ : proof omit.
- for each comparable  $C$ ,  $g(C)$  is also comparable by above.

Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)
- Consider  $\mathcal{U} \subset \mathcal{T}_0$  where  $A \subset C$  or  $g(C) \subset A$ .
- $\mathcal{U}$  is smaller than the subset of  $\mathcal{T}_0$  comparable with  $g(C)$ .
- $\mathcal{U}$  is a tower and hence  $\mathcal{U} = \mathcal{T}_0$ : proof omit.
- for each comparable  $C$ ,  $g(C)$  is also comparable by above.
- $\emptyset$  is comparable.  $g$  maps comparable sets to comparable sets.



Proof continued:  $\mathcal{T}_0$  is a chain.

- We say that a set  $C$  in  $\mathcal{T}_0$  is *comparable* if  $A \subset C$  or  $C \subset A$  for every  $A \in \mathcal{T}_0$ .
- $\emptyset$  is comparable.
- Let  $C$  be a fixed comparable set.
- If  $A \in \mathcal{T}_0$  and  $A$  is a proper subset of  $C$ , then  $g(A) \subset C$ . (As  $C$  cannot be a proper subset of  $g(A)$  by considering  $g(A) - A$  at most a singleton.)
- Consider  $\mathcal{U} \subset \mathcal{T}_0$  where  $A \subset C$  or  $g(C) \subset A$ .
- $\mathcal{U}$  is smaller than the subset of  $\mathcal{T}_0$  comparable with  $g(C)$ .
- $\mathcal{U}$  is a tower and hence  $\mathcal{U} = \mathcal{T}_0$ : proof omit.
- for each comparable  $C$ ,  $g(C)$  is also comparable by above.
- $\emptyset$  is comparable.  $g$  maps comparable sets to comparable sets.
- The comparable sets in  $\mathcal{T}_0$  constitutes a tower, and hence all sets in  $\mathcal{T}_0$  are comparable. Thus,  $\mathcal{T}_0$  is a chain.

- We can show Zorn's lemma implies the existence of the choice functions.

- We can show Zorn's lemma implies the existence of the choice functions.
- Proof: Given a set  $X$ , let

$$\mathcal{F} = \{f \mid \text{dom } f \subset P(X) - \{\emptyset\}, \text{ran } f \subset X, f(A) \in A \forall A \in P(X) - \{\emptyset\}\}.$$

- We can show Zorn's lemma implies the existence of the choice functions.
- Proof: Given a set  $X$ , let

$$\mathcal{F} = \{f \mid \text{dom } f \subset P(X) - \{\emptyset\}, \text{ran } f \subset X, f(A) \in A \forall A \in P(X) - \{\emptyset\}\}.$$

- Order these by extensions.

- We can show Zorn's lemma implies the existence of the choice functions.
- Proof: Given a set  $X$ , let

$$\mathcal{F} = \{f \mid \text{dom } f \subset P(X) - \{\emptyset\}, \text{ran } f \subset X, f(A) \in A \forall A \in P(X) - \{\emptyset\}\}.$$

- Order these by extensions.
- Every chain has an upper bound: (extensions  $-- >$  take a union)

- We can show Zorn's lemma implies the existence of the choice functions.
- Proof: Given a set  $X$ , let

$$\mathcal{F} = \{f \mid \text{dom } f \subset P(X) - \{\emptyset\}, \text{ran } f \subset X, f(A) \in A \forall A \in P(X) - \{\emptyset\}\}.$$

- Order these by extensions.
- Every chain has an upper bound: (extensions  $-- >$  take a union)
- Find a maximal element by Zorn's lemma. Then  $\text{dom } f = P(X) - \{\emptyset\}$ :

- We can show Zorn's lemma implies the existence of the choice functions.
- Proof: Given a set  $X$ , let

$$\mathcal{F} = \{f \mid \text{dom } f \subset P(X) - \{\emptyset\}, \text{ran } f \subset X, f(A) \in A \forall A \in P(X) - \{\emptyset\}\}.$$

- Order these by extensions.
- Every chain has an upper bound: (extensions  $\rightarrow$  take a union)
- Find a maximal element by Zorn's lemma. Then  $\text{dom } f = P(X) - \{\emptyset\}$ :
  - ▶ Proof: Suppose  $A \notin \text{dom } f$ . Define  $B = \text{dom } f \cup \{A\}$ . Choose an element  $a \in A$ . Define  $g(B) = f(B)$  if  $B \in \text{dom } f$  and  $f(B) = a$  if  $B = A$ .  $g \geq f$ . Thus,  $g = f$ . Contradiction.

- We can show Zorn's lemma implies the existence of the choice functions.
- Proof: Given a set  $X$ , let

$$\mathcal{F} = \{f \mid \text{dom } f \subset P(X) - \{\emptyset\}, \text{ran } f \subset X, f(A) \in A \forall A \in P(X) - \{\emptyset\}\}.$$

- Order these by extensions.
- Every chain has an upper bound: (extensions  $-- >$  take a union)
- Find a maximal element by Zorn's lemma. Then  $\text{dom } f = P(X) - \{\emptyset\}$ :
  - ▶ Proof: Suppose  $A \notin \text{dom } f$ . Define  $B = \text{dom } f \cup \{A\}$ . Choose an element  $a \in A$ . Define  $g(B) = f(B)$  if  $B \in \text{dom } f$  and  $f(B) = a$  if  $B = A$ .  $g \geq f$ . Thus,  $g = f$ . Contradiction.
  - ▶ The existence of the choice functions implies the Axiom of Choice.



- Well-ordering theorem: Every set can be well-ordered.

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.
- Proof:

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.
- Proof:
  - ▶ Let  $W$  be the collection of all well ordered subsets of  $X$ .  $W \neq \emptyset$ .

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.
- Proof:
  - ▶ Let  $W$  be the collection of all well ordered subsets of  $X$ .  $W \neq \emptyset$ .
  - ▶ Then  $W$  is partially ordered by the inclusion relation.

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.
- Proof:
  - ▶ Let  $W$  be the collection of all well ordered subsets of  $X$ .  $W \neq \emptyset$ .
  - ▶ Then  $W$  is partially ordered by the inclusion relation.
  - ▶ If  $\mathcal{C}$  is a chain w.r.t continuation, then  $U = \bigcup \mathcal{C}$  is an upper bound.

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.
- Proof:
  - ▶ Let  $W$  be the collection of all well ordered subsets of  $X$ .  $W \neq \emptyset$ .
  - ▶ Then  $W$  is partially ordered by the inclusion relation.
  - ▶ If  $\mathcal{C}$  is a chain w.r.t continuation, then  $U = \bigcup \mathcal{C}$  is an upper bound.
  - ▶ By Zorn's lemma, there exists a maximal set  $M$ . Then  $M = X$ .

- Well-ordering theorem: Every set can be well-ordered.
- We show that Zorn's lemma implies the well-ordering theorem.
- Proof:
  - ▶ Let  $W$  be the collection of all well ordered subsets of  $X$ .  $W \neq \emptyset$ .
  - ▶ Then  $W$  is partially ordered by the inclusion relation.
  - ▶ If  $\mathcal{C}$  is a chain w.r.t continuation, then  $U = \bigcup \mathcal{C}$  is an upper bound.
  - ▶ By Zorn's lemma, there exists a maximal set  $M$ . Then  $M = X$ .
  - ▶ Proof: If  $x \in X - M$ , then  $M' = M \cup \{x\}$  is well-ordered and bigger.



- Finally, We show that the well-ordering theorem implies that the axiom of choice.

- Finally, We show that the well-ordering theorem implies that the axiom of choice.
- Given a collection of set  $\{X_i | i \in I\}$ , there exists a set  $\{x_i | i \in I\}$  so that  $x_i \in X_i$  for each  $i \in I$ .

- Finally, We show that the well-ordering theorem implies that the axiom of choice.
- Given a collection of set  $\{X_i | i \in I\}$ , there exists a set  $\{x_i | i \in I\}$  so that  $x_i \in X_i$  for each  $i \in I$ .
- Proof: Well-order  $\bigcup_{i \in I} X_i$  and choose minimal  $x_i \in X_i$  for each  $i \in I$ .

- Finally, We show that the well-ordering theorem implies that the axiom of choice.
- Given a collection of set  $\{X_i | i \in I\}$ , there exists a set  $\{x_i | i \in I\}$  so that  $x_i \in X_i$  for each  $i \in I$ .
- Proof: Well-order  $\bigcup_{i \in I} X_i$  and choose minimal  $x_i \in X_i$  for each  $i \in I$ .
- The axiom of choice  $\rightarrow$  Zorn's lemma  $\rightarrow$  The well-ordering theorem  $\rightarrow$  The axiom of choice.

## Axiom of substitution

- $A$  a set.  $S(a, b)$  well-formed sentence. Suppose that  $F(n) = \{x | S(n, x)\}$  is a set. Is  $\{F(n)\}$  a set?

## Axiom of substitution

- $A$  a set.  $S(a, b)$  well-formed sentence. Suppose that  $F(n) = \{x | S(n, x)\}$  is a set. Is  $\{F(n)\}$  a set?
- Axiom of substitution: If  $S(a, b)$  is a statement for each  $a \in A$  such that the set  $\{b | S(a, b)\}$  can be formed, then there exists a function  $F : A \rightarrow Y$  for some set  $Y$  such that  $F(a) = \{b | S(a, b)\}$ .

## Axiom of substitution

- $A$  a set.  $S(a, b)$  well-formed sentence. Suppose that  $F(n) = \{x | S(n, x)\}$  is a set. Is  $\{F(n)\}$  a set?
- Axiom of substitution: If  $S(a, b)$  is a statement for each  $a \in A$  such that the set  $\{b | S(a, b)\}$  can be formed, then there exists a function  $F : A \rightarrow Y$  for some set  $Y$  such that  $F(a) = \{b | S(a, b)\}$ .
- This is the Axiom of replacement (Malitz page 45)

## Axiom of substitution

- $A$  a set.  $S(a, b)$  well-formed sentence. Suppose that  $F(n) = \{x | S(n, x)\}$  is a set. Is  $\{F(n)\}$  a set?
- Axiom of substitution: If  $S(a, b)$  is a statement for each  $a \in A$  such that the set  $\{b | S(a, b)\}$  can be formed, then there exists a function  $F : A \rightarrow Y$  for some set  $Y$  such that  $F(a) = \{b | S(a, b)\}$ .
- This is the Axiom of replacement (Malitz page 45)
- The main use of the axiom of replacement is to obtain higher ordinals.



## Axiom of substitution

- $A$  a set.  $S(a, b)$  well-formed sentence. Suppose that  $F(n) = \{x | S(n, x)\}$  is a set. Is  $\{F(n)\}$  a set?
- Axiom of substitution: If  $S(a, b)$  is a statement for each  $a \in A$  such that the set  $\{b | S(a, b)\}$  can be formed, then there exists a function  $F : A \rightarrow Y$  for some set  $Y$  such that  $F(a) = \{b | S(a, b)\}$ .
- This is the Axiom of replacement (Malitz page 45)
- The main use of the axiom of replacement is to obtain higher ordinals.
- Also the axiom of substitution is “indispensable” currently.

# Ordinal numbers

- An *ordinal number* is a well-ordered set  $\alpha$  such that  $s(\eta) = \eta$  for  $\eta \in \alpha$ .

# Ordinal numbers

- An *ordinal number* is a well-ordered set  $\alpha$  such that  $s(\eta) = \eta$  for  $\eta \in \alpha$ .
- $s(\eta) := \{\zeta \in \alpha \mid \zeta < \eta\}$ .

# Ordinal numbers

- An *ordinal number* is a well-ordered set  $\alpha$  such that  $s(\eta) = \eta$  for  $\eta \in \alpha$ .
- $s(\eta) := \{\zeta \in \alpha \mid \zeta < \eta\}$ .
- $\omega$  is a set.

# Ordinal numbers

- An *ordinal number* is a well-ordered set  $\alpha$  such that  $s(\eta) = \eta$  for  $\eta \in \alpha$ .
- $s(\eta) := \{\zeta \in \alpha \mid \zeta < \eta\}$ .
- $\omega$  is a set.
- Define  $F(0) = \omega$  and  $F(n^+) = (F(n))^+$ .

# Ordinal numbers

- An *ordinal number* is a well-ordered set  $\alpha$  such that  $s(\eta) = \eta$  for  $\eta \in \alpha$ .
- $s(\eta) := \{\zeta \in \alpha \mid \zeta < \eta\}$ .
- $\omega$  is a set.
- Define  $F(0) = \omega$  and  $F(n^+) = (F(n))^+$ .
- $\omega \cup \text{ran } F$  is  $\omega^2$  or  $2\omega$ .

# Ordinal numbers

- An *ordinal number* is a well-ordered set  $\alpha$  such that  $s(\eta) = \eta$  for  $\eta \in \alpha$ .
- $s(\eta) := \{\zeta \in \alpha \mid \zeta < \eta\}$ .
- $\omega$  is a set.
- Define  $F(0) = \omega$  and  $F(n^+) = (F(n))^+$ .
- $\omega \cup \text{ran } F$  is  $\omega^2$  or  $2\omega$ .
- We show  $\omega^2$  is an ordinal.

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...



## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$
- $\omega^3, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots$

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$
- $\omega^3, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots$
- $\omega^{\omega^{\omega^\omega}}, \dots, \epsilon_0, \dots$

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$
- $\omega^3, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots$
- $\omega^{\omega^{\omega^\omega}}, \dots, \epsilon_0, \dots$

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$
- $\omega^3, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots$
- $\omega^{\omega^{\omega^\omega}}, \dots, \epsilon_0, \dots$

### Theorem (Counting)

*Each well-ordered set is similar to a unique ordinal number.*



## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$
- $\omega^3, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots$
- $\omega^{\omega^{\omega^\omega}}, \dots, \epsilon_0, \dots$

### Theorem (Counting)

*Each well-ordered set is similar to a unique ordinal number.*

## Ordinal constructions

- Using the axiom of replacements, we can keep constructing new ordinals...
- We can construct:  $\omega, \omega^2, \omega^3, \dots, \omega^2$ .
- $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \dots$
- $\omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega^2$ .
- $\omega^2 + \omega^2, \omega^2 + \omega^3, \dots$
- $\omega^3, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots$
- $\omega^{\omega^{\omega^\omega}}, \dots, \epsilon_0, \dots$

### Theorem (Counting)

*Each well-ordered set is similar to a unique ordinal number.*

### Theorem (Burali-Forti paradox)

*There is no set containing all ordinals.*

# Schröder-Bernstein theorem

- An equivalence  $\sim$ : one-to-one correspondence.

# Schröder-Bernstein theorem

- An equivalence  $\sim$ : one-to-one correspondence.
- $X \lesssim Y$  if  $X$  is equivalent to a subset of  $Y$ :  $Y$  dominates  $X$ .

# Schröder-Bernstein theorem

- An equivalence  $\sim$ : one-to-one correspondence.
- $X \preceq Y$  if  $X$  is equivalent to a subset of  $Y$ :  $Y$  dominates  $X$ .

## Theorem (Schröder-Bernstein)

If  $X \preceq Y$  and  $Y \preceq X$ , then  $X \sim Y$ .

# Schröder-Bernstein theorem

- An equivalence  $\sim$ : one-to-one correspondence.
- $X \lesssim Y$  if  $X$  is equivalent to a subset of  $Y$ :  $Y$  dominates  $X$ .

## Theorem (Schröder-Bernstein)

If  $X \lesssim Y$  and  $Y \lesssim X$ , then  $X \sim Y$ .

- A *cardinal number* is an ordinal number  $\alpha$  such that if  $\beta$  is an ordinal number equivalent to  $\alpha$ , then  $\alpha \leq \beta$ .

# Schröder-Bernstein theorem

- An equivalence  $\sim$ : one-to-one correspondence.
- $X \preceq Y$  if  $X$  is equivalent to a subset of  $Y$ :  $Y$  dominates  $X$ .

## Theorem (Schröder-Bernstein)

If  $X \preceq Y$  and  $Y \preceq X$ , then  $X \sim Y$ .

- A *cardinal number* is an ordinal number  $\alpha$  such that if  $\beta$  is an ordinal number equivalent to  $\alpha$ , then  $\alpha \leq \beta$ .
- By the counting theorem and the well-ordering theorem, each set  $X$  is equivalent to a unique cardinal. Denote this  $\text{card}X$ .

## Schröder-Bernstein theorem

- An equivalence  $\sim$ : one-to-one correspondence.
- $X \preceq Y$  if  $X$  is equivalent to a subset of  $Y$ :  $Y$  dominates  $X$ .

### Theorem (Schröder-Bernstein)

If  $X \preceq Y$  and  $Y \preceq X$ , then  $X \sim Y$ .

- A *cardinal number* is an ordinal number  $\alpha$  such that if  $\beta$  is an ordinal number equivalent to  $\alpha$ , then  $\alpha \leq \beta$ .
- By the counting theorem and the well-ordering theorem, each set  $X$  is equivalent to a unique cardinal. Denote this  $\text{card}X$ .
- A finite number is a cardinal as well as  $\omega$ .



# Cardinal arithmetic

- If  $X \sim Y$ , then  $\text{card}X = \text{card}Y$ .

# Cardinal arithmetic

- If  $X \sim Y$ , then  $\text{card}X = \text{card}Y$ .
- If  $X \lesssim Y$ , then  $\text{card}X < \text{card}Y$ . (i.e.,  $\text{card}X \leq \text{card}Y, X \neq Y$ .)

# Cardinal arithmetic

- If  $X \sim Y$ , then  $\text{card}X = \text{card}Y$ .
- If  $X \preccurlyeq Y$ , then  $\text{card}X < \text{card}Y$ . (i.e.,  $\text{card}X \leq \text{card}Y, X \neq Y$ .)
- $a, b$  cardinal numbers  $a + b = \text{card}(A \cup B)$  where  $a = \text{card}A$  and  $b = \text{card}B$  and  $A \cap B = \emptyset$ .

# Cardinal arithmetic

- If  $X \sim Y$ , then  $\text{card}X = \text{card}Y$ .
- If  $X \preccurlyeq Y$ , then  $\text{card}X < \text{card}Y$ . (i.e.,  $\text{card}X \leq \text{card}Y, X \neq Y$ .)
- $a, b$  cardinal numbers  $a + b = \text{card}(A \cup B)$  where  $a = \text{card}A$  and  $b = \text{card}B$  and  $A \cap B = \emptyset$ .
- $\prod_{i \in I} a_i = \text{card}(X_{i \in I} A_i)$ .

# Cardinal arithmetic

- If  $X \sim Y$ , then  $\text{card}X = \text{card}Y$ .
- If  $X \prec Y$ , then  $\text{card}X < \text{card}Y$ . (i.e.,  $\text{card}X \leq \text{card}Y, X \neq Y$ .)
- $a, b$  cardinal numbers  $a + b = \text{card}(A \cup B)$  where  $a = \text{card}A$  and  $b = \text{card}B$  and  $A \cap B = \emptyset$ .
- $\prod_{i \in I} a_i = \text{card}(X_{i \in I} A_i)$ .
- $a^b = \text{card}A^B$ .

# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.

# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.
- CH: There is no set  $S$  with  $\aleph_0 < |S| < 2^{\aleph_0} = |\mathbb{R}|$ .

# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.
- CH: There is no set  $S$  with  $\aleph_0 < |S| < 2^{\aleph_0} = |\mathbb{R}|$ .
- Or  $2^{\aleph_0} = \aleph_1$ .



# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.
- CH: There is no set  $S$  with  $\aleph_0 < |S| < 2^{\aleph_0} = |\mathbb{R}|$ .
- Or  $2^{\aleph_0} = \aleph_1$ .
- Generalized CH.  $2^{\aleph_\alpha} = \aleph_{\alpha+1}$  for all ordinals  $\alpha$ .

# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.
- CH: There is no set  $S$  with  $\aleph_0 < |S| < 2^{\aleph_0} = |\mathbb{R}|$ .
- Or  $2^{\aleph_0} = \aleph_1$ .
- Generalized CH.  $2^{\aleph_\alpha} = \aleph_{\alpha+1}$  for all ordinals  $\alpha$ .
- The contributions of Kurt Gödel in 1940 and Paul Cohen in 1963 show that the hypothesis can neither be disproved nor be proved using the axioms of Zermelo-Fraenkel set theory, the standard foundation of modern mathematics, provided that the set theory is consistent.

# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.
- CH: There is no set  $S$  with  $\aleph_0 < |S| < 2^{\aleph_0} = |\mathbb{R}|$ .
- Or  $2^{\aleph_0} = \aleph_1$ .
- Generalized CH.  $2^{\aleph_\alpha} = \aleph_{\alpha+1}$  for all ordinals  $\alpha$ .
- The contributions of Kurt Gödel in 1940 and Paul Cohen in 1963 show that the hypothesis can neither be disproved nor be proved using the axioms of Zermelo-Fraenkel set theory, the standard foundation of modern mathematics, provided that the set theory is consistent.
- Paul Cohen introduced the notion of “forcing” to show this.

# Generalized continuum hypothesis

- $\aleph_0$  the cardinality of  $\omega$ .  $\aleph_0 < |\mathbb{R}|$  the reals.
- CH: There is no set  $S$  with  $\aleph_0 < |S| < 2^{\aleph_0} = |\mathbb{R}|$ .
- Or  $2^{\aleph_0} = \aleph_1$ .
- Generalized CH.  $2^{\aleph_\alpha} = \aleph_{\alpha+1}$  for all ordinals  $\alpha$ .
- The contributions of Kurt Gödel in 1940 and Paul Cohen in 1963 show that the hypothesis can neither be disproved nor be proved using the axioms of Zermelo-Fraenkel set theory, the standard foundation of modern mathematics, provided that the set theory is consistent.
- Paul Cohen introduced the notion of “forcing” to show this.
- But the question still remains open in “some sense”, as a subject of “philosophy”.