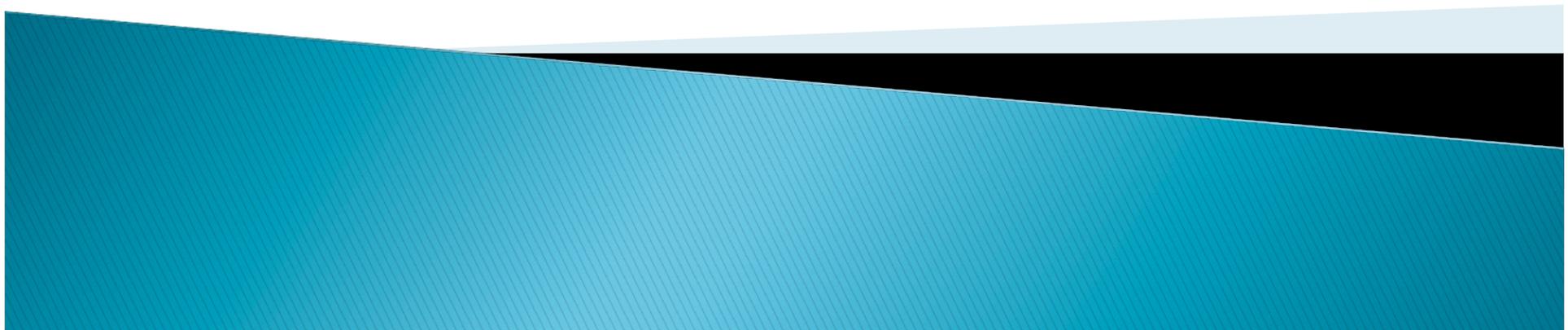


# Chapter 6. Linear transformations

The purpose is to understand linear transformations , see various examples, kernel range, compositions and invertibility



# 6.1. Matrices as transformations

**Definition 6.1.1** Given a set  $D$  of allowable inputs, a *function*  $f$  is a rule that associates a unique output with each input from  $D$ ; the set  $D$  is called the *domain* of  $f$ . If the input is denoted by  $x$ , then the corresponding output is denoted by  $f(x)$  (read, “ $f$  of  $x$ ”). The output is also called the *value* of  $f$  at  $x$  or the *image* of  $x$  under  $f$ , and we say that  $f$  *maps*  $x$  into  $f(x)$ . It is common to denote the output by the single letter  $y$  and write  $y = f(x)$ . The set of all outputs  $y$  that results as  $x$  varies over the domain is called the *range* of  $f$ .

- ▶ A function is a set  $\{(x, f(x)) | x \text{ in } D\}$   
where  $x=y$  means  $f(x)=f(y)$
- ▶ Example:
  - $T(x_1, x_2) = (x_1, x_2)$  or the identity map.
  - $T(x_1, x_2) = (c_1, c_2)$  or a constant map.

- ▶ Example:  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ .  
 $T(x_1, x_2, x_3) = (x_1x_2, x_2x_3, x_3x_1)$ .
- ▶ Example: Given  $2 \times 3$  matrix  $A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 2 & 1 \end{bmatrix}$ , define  $T(x_1, x_2, x_3) = (x_1 + x_3, 2x_2 + x_3)$ . Or  $T_A(x) = Ax$ .
- ▶ Given a transformation  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$ . A domain is  $\mathbb{R}^n$  and codomain is  $\mathbb{R}^m$ . The range is the actual set  $T(\mathbb{R}^n)$  in  $\mathbb{R}^m$  which may or may not be the whole of  $\mathbb{R}^m$ .
- ▶ An operator is a transformation  $\mathbb{R}^n \rightarrow \mathbb{R}^n$ .



# Matrix transformation

- ▶ Given  $A$   $m \times n$  matrix.
- ▶ We define  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  by  $x \rightarrow Ax$  or  $T(x) = Ax$ .
- ▶  $T_A$ : multiplication by  $A$ , or transformation  $A$ .
- ▶ A matrix transformation and the matrix itself is often considered a same object.
- ▶ Example: zero transformation  $T_O(x) = Ox = O$ .
- ▶ Identity operator  $T_I(x) = Ix = x$ .



# Linear transformation

- ▶ The term linear was used to denote that the order of a polynomial was no more than one.
- ▶ Here, we will change meaning somewhat.
- ▶ A transformation will be linear if it sends  $O$  to  $O$  and each line to a line and planes to planes and so on.
- ▶ It turns out that this means that the transformation preserves addition and scalar multiplications and conversely.



- ▶ Superposition principle:  
$$T(c_1\mathbf{v}_1+c_2\mathbf{v}_2+\dots+c_k\mathbf{v}_k) = c_1T(\mathbf{v}_1)+c_2T(\mathbf{v}_2)+\dots+c_kT(\mathbf{v}_k).$$
- ▶ Actually this is linearity. Physicists use it in different way also.

**Definition 6.1.2** A function  $T : R^n \rightarrow R^m$  is called a *linear transformation* from  $R^n$  to  $R^m$  if the following two properties hold for all vectors  $\mathbf{u}$  and  $\mathbf{v}$  in  $R^n$  and for all scalars  $c$ :

- (i)  $T(c\mathbf{u}) = cT(\mathbf{u})$  [Homogeneity property]
- (ii)  $T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$  [Additivity property]

In the special case where  $m = n$ , the linear transformation  $T$  is called a *linear operator* on  $R^n$ .



- ▶ Example: matrix transformations are linear.  
 $T_A(c_1x_1+c_2x_2)=A(c_1x_1+c_2x_2)=c_1Ax_1+c_2Ax_2=c_1T(x_1)+c_2T(x_2).$
- ▶ Example: 2<sup>nd</sup> or higher order transformations are nonlinear. They do not preserve the scalar multiplication or additions sometimes.
  - $T(x_1,x_2,x_3)=(x_1x_2,x_2x_3,x_3x_1).$
  - $T(2x_1,2x_2,2x_3)=4T(x_1,x_2,x_3).$
  - $T(x_1+x'_1,x_2+x'_2,x_3+x'_3) = ((x_1+x'_1)(x_2+x'_2), (x_2+x'_2)(x_3+x'_3),(x_3+x'_3)(x_1+x'_1))$  is not  $T(x_1,x_2,x_3)+T(x'_1,x'_2,x'_3)$  for arbitrary choices.



# Properties

**Theorem 6.1.3** *If  $T : R^n \rightarrow R^m$  is a linear transformation, then:*

(a)  $T(\mathbf{0}) = \mathbf{0}$

(b)  $T(-\mathbf{u}) = -T(\mathbf{u})$

(c)  $T(\mathbf{u} - \mathbf{v}) = T(\mathbf{u}) - T(\mathbf{v})$

- ▶ Proof: (a)  $T(\mathbf{0}) = T(0\mathbf{v}) = 0T(\mathbf{v}) = \mathbf{0}$ .
- ▶ Example: A translation is not linear.
  - $T(\mathbf{x}) = \mathbf{x} + \mathbf{x}_0$ .  $\mathbf{0} \rightarrow \mathbf{x}_0$ .



# All linear transformations are matrix transformations

- ▶ Suppose that  $T$  is linear:  $\mathbb{R}^n \rightarrow \mathbb{R}^m$ .
  - $\mathbf{x} = x_1\mathbf{e}_1 + x_2\mathbf{e}_2 + \dots + x_n\mathbf{e}_n$ .
  - $T(\mathbf{x}) = x_1T(\mathbf{e}_1) + x_2T(\mathbf{e}_2) + \dots + x_nT(\mathbf{e}_n)$ .
  - $T(\mathbf{x}) = [T(\mathbf{e}_1), T(\mathbf{e}_2), \dots, T(\mathbf{e}_n)][x_1, x_2, \dots, x_n]^T$ .
  - Let  $A$  be  $[T(\mathbf{e}_1), T(\mathbf{e}_2), \dots, T(\mathbf{e}_n)]$ . Then  $T(\mathbf{x}) = A\mathbf{x}$ .

**Theorem 6.1.4** *Let  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation, and suppose that vectors are expressed in column form. If  $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n$  are the standard unit vectors in  $\mathbb{R}^n$ , and if  $\mathbf{x}$  is any vector in  $\mathbb{R}^n$ , then  $T(\mathbf{x})$  can be expressed as*

$$T(\mathbf{x}) = A\mathbf{x} \tag{13}$$

where

$$A = [T(\mathbf{e}_1) \quad T(\mathbf{e}_2) \quad \dots \quad T(\mathbf{e}_n)]$$

- ▶  $A$  is a (standard) matrix corresponding to  $T$ .
- ▶  $T$  is a transformation corresponding to  $A$ .
- ▶  $T$  is a transformation represented by  $A$ .
- ▶  $T$  is the transformation  $A$ .
- ▶  $A=[T]=[T(e_1), T(e_2), \dots, T(e_n)]$ .
- ▶  $T(x)=[T]x$ .
- ▶ Example:  $T(x)=cx$ .  $c$  is some number.  $T$  is linear and is called a scaling operator.
- ▶ Then  $[T]=cI$ .



# Representing transformations by equations....

- ▶  $\mathbb{R}^n$  coordinates  $(x_1, x_2, \dots, x_n)$ .
- ▶  $\mathbb{R}^m$  coordinates  $(w_1, w_2, \dots, w_m)$
- ▶ Then  $(w_1, w_2, \dots, w_m) = T(x_1, x_2, \dots, x_n)$  can be written:
  - $w_1 = a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n$
  - $w_2 = a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n$
  - .....
  - $w_m = a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n$ .
- ▶ Conversely, this equation defines  $w = Ax$  and hence a linear transformation  $T_A$ .
- ▶ We can consider these identical definitions.



# Rotations about the origin.

- ▶ Let us make a transformation that preserves length and send a vector to a vector rotated by an angle  $\theta$ .
- ▶  $e_1 \rightarrow (\cos\theta, \sin\theta)$ ,  $e_2 \rightarrow (-\sin\theta, \cos\theta)$ .
- ▶ Thus let  $[T] = [Te_1, Te_2]$   
 $= [[\cos\theta, -\sin\theta], [\sin\theta, \cos\theta]]$ .
- ▶ Thus  $R_\theta x = [[\cos\theta, -\sin\theta], [\sin\theta, \cos\theta]]x$ .
- ▶ A rotation about nonorigin is not linear.



# Reflection about a line through the origin.

- ▶ Take a line  $L$  through the origin having angle  $\theta$  with the positive  $x$ -axis.
- ▶  $T(e_1)$  is length 1 and has angle  $2\theta$  with the positive  $x$ -axis.  $T(e_1) = (\cos 2\theta, \sin 2\theta)$ .
- ▶  $T(e_2)$  is length 1 and has angle  $2(\pi/2 - \theta)$  with the positive  $y$ -axis and has angle  $(\pi/2 - 2\theta)$  with the positive  $x$ -axis.  
 $T(e_2) = (\cos(\pi/2 - 2\theta), \sin(\pi/2 - 2\theta)) = (\sin 2\theta, -\cos 2\theta)$ .
- ▶  $H_\theta(x) = \begin{bmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{bmatrix} x$



▶ Examples:

- (a)  $T(x,y)=(-y,x)$ : reflection about the  $y$ -axis
- (b)  $T(x,y)=(x,-y)$ : reflection about the  $x$ -axis.
- (c)  $T(x,y)=(y,x)$ : reflection about  $y=x$  line.

▶ Example 13:  $\theta=\pi/3$ .

- $H_{\pi/3}(x)$   
=  $[[\cos(2\pi/3), \sin(2\pi/3)], [\sin(2\pi/3), -\cos(2\pi/3)]]$   
=  $[[ -1/2, 1/\sqrt{3}], [1/\sqrt{3}, 1/2]]x$ .



# Orthogonal projection onto the line through the origin.

- ▶ Define  $P_\theta: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by sending a point  $x$  to a line  $L$  through  $O$  with angle  $\theta$  with the positive  $x$ -axis.
- ▶ We find the formula by  $P_\theta(x) - x = (H_\theta(x) - x)/2$ .
- ▶ Thus,  $P_\theta(x) = H_\theta(x)/2 + x/2 = \frac{1}{2}(H_\theta + I)(x)$ .
- ▶  $P_\theta = \frac{1}{2}(H_\theta + I)$ .

$$\begin{bmatrix} (1 + \cos 2\theta)/2 & (\sin 2\theta)/2 \\ (\sin 2\theta)/2 & (1 + \cos 2\theta)/2 \end{bmatrix} = \begin{bmatrix} \cos^2 \theta & \sin \theta \cos \theta \\ \sin \theta \cos \theta & \sin^2 \theta \end{bmatrix}$$

- ▶ The projection to the x-axis.  $\Theta=0$ . Thus the matrix is  $\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$ .  $(x,y) \rightarrow (x,0)$ .
- ▶ The projection to the y-axis.  $\Theta=\pi/2$ . Thus the matrix is  $\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$ .  $(x,y) \rightarrow (0, y)$ .

